



46th AFTER-WORK LECTURE ON ASSET & INVESTMENT MANAGEMENT

THURSDAY, 10 OCTOBER 2019

Chair in Business Administration, Banking and Financial Management
Institute for Finance

ETHICAL DECISION MAKING:

"HOW TO DO THE RIGHT THING EVEN IF NO ONE IS WATCHING"

- *Michael G. McMillan, Ph.D., CPA, CFA, CCEP*
CFA Institute, Director, Ethics Education and Professional Standards

After-Work Lectures on Asset and Investment Management

Series of lectures on current developments in Asset and Investment Management. Exchange of ideas amongst professionals of Corporations, Banks, Asset Management, Investment and Insurance Companies, Financial Advisory Services, Tax Administration, Lawyers, Trustees, Fund Managers and Financial Auditors.

Abstract

- Can ethics be dangerous to your career?
- What should you do if you are asked to do something that you believe is illegal or unethical?

Although ethical dilemmas are a normal and predictable part of the workplace, they can be damaging to a professionals' career if they are not prepared for the organizational challenges that may test personal values and a commitment to doing the right thing.

Formal ethics training and an organizational culture rooted in ethical practice is vital to ensure that investors' interests come first, and that trust grows and is maintained in the industry.

Speaker

Dr. McMillan joined CFA Institute in October of 2008 after more than a decade as a professor of accounting and finance at Johns Hopkins University's Carey School of Business and George Washington University's School of Business.

Prior to pursuing a career in academia, he was a securities analyst and portfolio manager at Bailard, Biehl, and Kaiser and Merus Capital Management in San Francisco, California. As the Director of Ethics Education and Professional Standards, Dr. McMillan is responsible for creating and developing educational content for CFA Institute members and investment professionals in ethics and professional standards. He is also responsible for providing Ethical Decision-Making Workshops to pension plan trustees, investment professionals, regulators, and university students.

Dr. McMillan has a PhD in accounting and finance from George Washington University, an MBA from Stanford University, and BA from the University Pennsylvania. He is a Chartered Financial Analyst (CFA), a Certified Compliance and Ethics Professional (CCEP), a Chartered Investment Counselor (CIC), and a Certified Public Accountant (CPA).

CE Qualified Activity

This event is a CE Qualified Activity and earns CFA Institute members 1.0 CE credits. For further information, please refer to the back of this brochure.

Programme

Thursday, 10 October 2019

Welcome and Introduction

05.30 p.m. Prof. Dr. *Marco J. Menichetti*
Chair in Business Administration, Banking and Financial Management

Ethical Decision Making: "How to do the right thing even if no one is watching"

05.40 p.m. *Michael G. McMillan, Ph.D., CPA, CFA, CCEP*
CFA Institute, Director, Ethics Education and Professional Standards

Discussion

06.30 p.m. Chair: Prof. Dr. *Marco J. Menichetti*

Closing Words

06.40 p.m. *Tobias Schwabe-Fährmann, CFA*
President of the CFA Society Liechtenstein

Apéro

06.50 p.m. A p é r o a n d E x c h a n g e o f I d e a s

General Information

Participants

Professionals from Corporations, Banks, Asset and Investment Management Companies, Insurance Companies, Financial Advisory Services, Tax Administration, Lawyers, Trustees, Fund Managers and Financial Auditors. Graduate and undergraduate students with interest in investments and finance.

Location

University of Liechtenstein, Vaduz
Lecture Hall 1 (H1)

Time

Thursday, 10 October 2019
05.30 - 07.00 p.m.

Fee

Free of charge. Advance registration required.

Registration

For registration visit www.uni.li/awl or send an e-mail to banking@uni.li.

Deadline for Registration

Monday, 07 October 2019

Contact and Information

Dr. *Lars Kaiser*, Assistant Professor, Chair in Business Administration, Banking and Financial Management, Institute for Finance, University of Liechtenstein, Vaduz

Assistant: *Anna-Maria Cornal*, University of Liechtenstein, Institute for Finance,
T +423 265 13 49, banking@uni.li

Discussion:

Feel free to submit questions in advance until Monday, 07 October 2019 to Mrs. *Cornal*, Institute for Finance, banking@uni.li.

Sponsor of CFA Society Liechtenstein:



LIECHTENSTEINISCHER
BANKENVERBAND

Executive MBA in International Asset Management

Aufgrund von zahlreichen regulatorischen Anforderungen im Asset Management sind Anlageentscheidungen teilweise etwas in den Hintergrund gerückt. Doch das Pendel schlägt zurück und technologische Neuerungen (z.B. Blockchain-Technologie und Robo-Advisory), Produktentwicklungen (Smart Beta und Faktorportfolios), die Nachfrage nach nachhaltigen Finanzanlagen und stetige makroökonomische Veränderungen erfordern eine Anpassung und Weiterentwicklung der Anlageentscheidungen. Dieser Studiengang bereitet Sie auf die kommenden Herausforderungen im Asset Management vor. Nächster Start: September 2020.

www.uni.li/emba-iam

MBA in Corporate Finance & Accounting

Der deutschsprachige MBA-Studiengang bietet die Möglichkeit, das Finanz- und Rechnungswissen in Zeiten volatiler Finanzmärkte, dem derzeitigen Niedrigzinsumfeld und erhöhter regulatorischer Vorschriften zu erweitern. Durch die Zusammenarbeit mit einem technologieorientierten MBA im Grundlagenstudium entstehen einzigartige, interdisziplinäre Netzwerkeffekte. Mit nur 2 Tagen Präsenzzeit pro Monat (Freitag und Samstag) über einen Zeitraum von 18 Monaten, zuzüglich einer Masterthesis, erlangen Sie den akademischen Grad «Master of Business Administration (MBA)». Start: jeweils im Juni.

www.uni.li/mba-corporatefinance

Master (MSc) in Finance

The MSc in Finance is an internationally recognized Master's degree programme of 4 semesters, grants 120 ECTS credits and is currently one of the few English programmes in the German speaking area, that focuses on Asset and Wealth Management and International Financial Management. This Master's degree programme is unique thanks to its international approach, practice-oriented curriculum and its personal touch with students.

www.uni.li/master-finance

CFA Society Liechtenstein

The CFA Society Liechtenstein is an official member society of the CFA Institute. Its origins date back to January 2006 when it was set-up by 15 founding partners and today counts over 100 members. By becoming a recognised society of the CFA Institute, members are granted access to a variety of valuable resources and international conferences.

www.cfainstitute.org/learning/continuingeducation

CE Qualified Activity

The CFA Institute recommends members complete a minimum of 20 hours of CE activities, including 2 hours in the content areas of Standards, Ethics, and Regulations (SER), each calendar year. The CFA Society Liechtenstein has determined that this event qualifies for 1.0 CE credit hours.

www.cfainstitute.org/learning/continuingeducation



47th AFTER-WORK LECTURE ON ASSET & INVESTMENT MANAGEMENT

THURSDAY, 14 NOVEMBER 2019

Chair in Business Administration, Banking and Financial Management
Institute for Finance

VALUE INVESTING: WHO, WHAT AND WHY?

- *Professor Dr. George Athanassakos, Ivey Business School
at Western University, London, Ontario, Canada*



MASTER OF BUSINESS ADMINISTRATION (MBA) IN CORPORATE FINANCE & ACCOUNTING

Grundlagen der Betriebswirtschaftslehre

11 Module, 22 ECTS-Punkte

Vertiefung Corporate Finance & Accounting

6 Module, 22 ECTS-Punkte

Modul 1 | 4 ECTS-Punkte

Finanzierung und Kapitalstruktur

Modul 2 | 3 ECTS-Punkte

Rechnungslegung und Bilanzanalyse

Modul 3 | 5 ECTS-Punkte

Internationale Unternehmensfinanzierung und Finanzmärkte

Modul 4 | 5 ECTS-Punkte

Risikomanagement und Derivate

Modul 5 | 3 ECTS-Punkte

Mergers und Acquisitions

Modul 6 | 2 ECTS-Punkte

Fallstudien zur Unternehmensfinanzierung und Bilanzierung

Master Thesis

2 Module, 16 ECTS-Punkte

Zeitraum

Jeweils ab Juni, 3 Semester + Thesis,
Unterricht jeweils Freitag bis Samstag
(alle 3 – 4 Wochen)

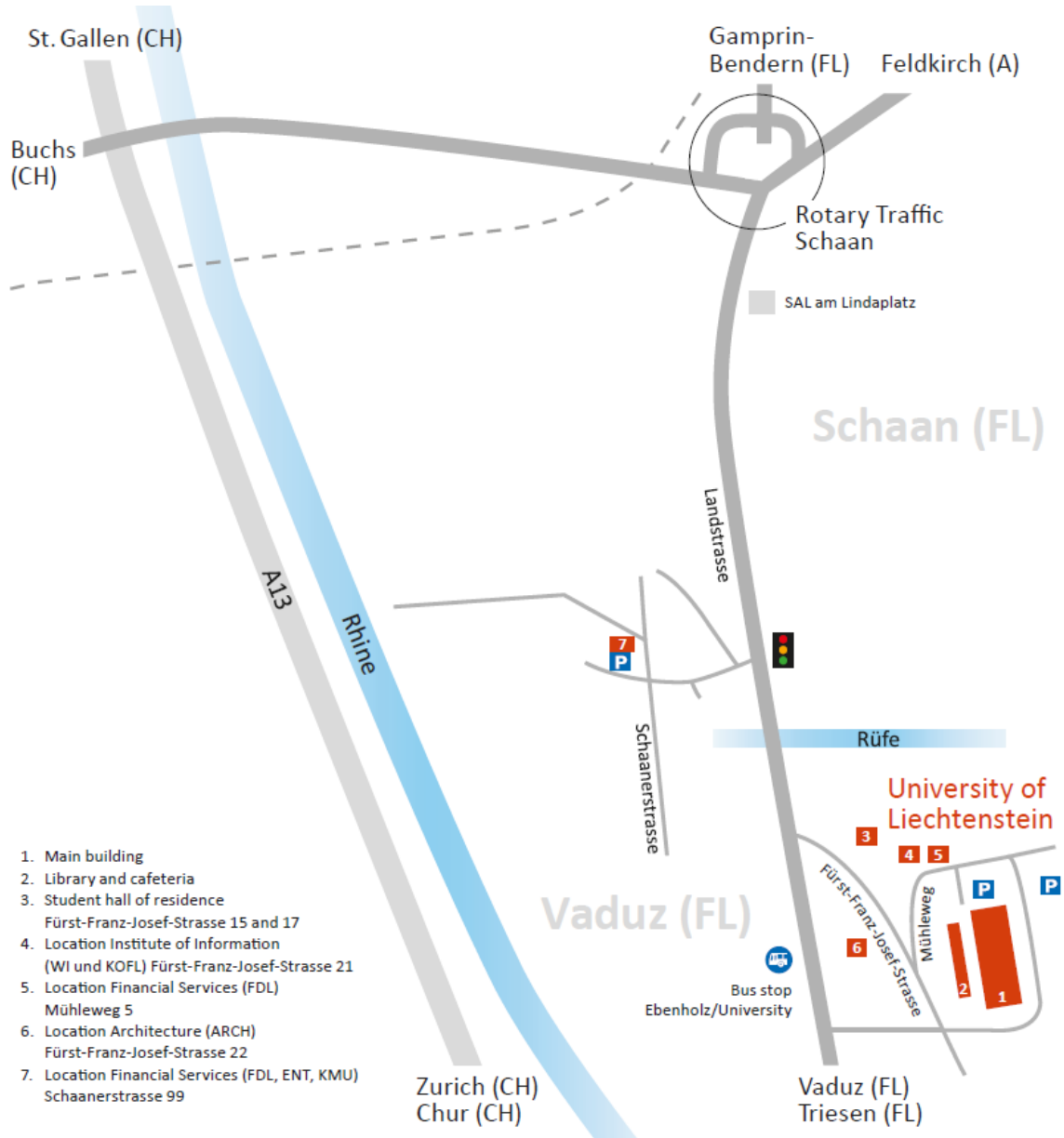
Informationen

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Studiengangsleitung

Prof. Dr. Marco J. Menichetti
Prof. Dr. Michael Hanke
Hendrik Peer Kimmerle, M.Sc.

Location



University of Liechtenstein
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