

# 36<sup>TH</sup> AFTER-WORK LECTURE ON ASSET & INVESTMENT MANAGEMENT

THURSDAY, 1 DECEMBER 2016



LIECHTENSTEIN  
**HOUSE OF FINANCE**  
INSTITUT FÜR FINANZDIENSTLEISTUNGEN

## **Workshop: Can ethics be dangerous to your career?**

- *Dr. Michael G. McMillan, CPA, CFA*  
Director of Society Ethics Training, CFA Institute

## **Institute for Financial Services**

Chair in Business Administration, Banking and Financial Management

## After-Work Lectures on Asset and Investment Management

Series of lectures on current developments in Asset and Investment Management. Exchange of ideas amongst professionals of Corporations, Banks, Asset Management, Investment and Insurance Companies, Financial Advisory Services, Tax Administration, Lawyers, Trustees, Fund Managers and Financial Auditors.

### Abstract

*What should you do if you are asked to do something that you believe is illegal or unethical?* Although ethical dilemmas are a normal and predictable part of most jobs, they can be dangerous to your career if you are not prepared for the organizational challenges that may test your personal values, moral beliefs, and commitment to doing the right thing.

This interactive presentation, by Dr. Michael G. McMillan, CFA, Director, Society Ethics Training at CFA Institute, examines several real life ethical dilemmas that professionals working in the financial services industry may face in the course of their careers.

These cases challenge participants to consider the various courses of action to address the issues involved. Hand held electronic “response devices” are used to allow participants the opportunity to vote on the best course of action and to stimulate discussion.

### Speaker

Dr. McMillan joined CFA Institute in October of 2008 after more than a decade as a professor of accounting and finance at Johns Hopkins University’s Carey School of Business and George Washington University’s School of Business. Prior to pursuing a career in academia, he was a securities analyst and portfolio manager at Bailard, Biehl, and Kaiser and Merus Capital Management in San Francisco, California.

As the Director of Society Ethics Training, Dr. McMillan is responsible for creating and developing educational content for CFA Institute members and investment professionals in the area of ethics and professional standards. Prior to joining the Institute, Dr. McMillan served in a variety of volunteer capacities at the CFA Institute. He

### CE Qualified Activity

This event is a CE Qualified Activity and earns CFA Institute members 1.0 CE credits. For further information, please refer to the back of this brochure.



## Programme

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**Thursday, 1 December 2016**

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### **Welcome and Introduction**

05.30 p.m. *Dr. Lars Kaiser*

Assistant Professor, Chair in Business Administration, Banking and Financial Management, Institute for Financial Services, University of Liechtenstein

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### **Can ethics be dangerous to your career?**

05.40 p.m. *Dr. Michael G. McMillan, CPA, CFA*

Director of Society Ethics Training at CFA Institute

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### **Apéro**

06.40 p.m. A p é r o a n d E x c h a n g e o f I d e a s

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## General Information

### Participants

Professionals from Corporations, Banks, Asset and Investment Management Companies, Insurance Companies, Financial Advisory Services, Tax Administration, Lawyers, Trustees, Fund Managers and Financial Auditors. Graduate and undergraduate students with interest in investments and finance.

### Location

University of Liechtenstein, Vaduz

### Lecture Room 4 (H4)

### Time

Thursday, 1 December 2016

05.30 - 07.00 p.m.

### Fee

Free of charge. Advance registration required.

### Registration

For registration visit [www.uni.li/awl](http://www.uni.li/awl) or send an e-mail to [banking@uni.li](mailto:banking@uni.li).

### Deadline for Registration

Tuesday, 29 November 2016

### Contact and Information

Dr. *Lars Kaiser*, Assistant Professor, Chair in Business Administration, Banking and Financial Management, Institute for Financial Services, University of Liechtenstein, Vaduz

Assistants: *Anna-Maria Cornal* and *Monika Züger*, University of Liechtenstein, Institute for Financial Services, T +423 265 13 49 / 13 48, [banking@uni.li](mailto:banking@uni.li)

### Discussion:

Feel free to submit questions in advance until Tuesday, 29 November 2016 to Mrs. *Cornal* or Mrs. *Züger*, Institute for Financial Services, [banking@uni.li](mailto:banking@uni.li).

### Sponsor of CFA Society Liechtenstein:



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## **Executive MBA in International Asset Management**

Das Asset und Investment Management war in den letzten Jahrzehnten einem starken Wandel unterzogen, unter anderem hervorgerufen durch neue Theorieansätze, stärkeren Einsatz quantitativer Methoden und neue Investmentinstrumente. Wir sind uns diesen Herausforderungen bewusst und haben daher ein berufsbegleitendes Programm für Sie gestaltet, welches sich harmonisch in Ihr Berufs- und Privatleben integrieren lässt und Ihnen einen effizienten Wissenstransfer ermöglicht. Der Besuch einzelner Module ist für Externe möglich.

**[www.uni.li/emba-iam](http://www.uni.li/emba-iam)**

## **MBA in Corporate Finance & Accounting**

Dieser Studiengang richtet sich an Führungskräfte und Experten im Finanzbereich von Industrieunternehmen sowie auch an Führungskräfte im Finanzdienstleistungsbereich inklusive Banking, die die Bedürfnisse von mittelgrossen und international ausgerichteten Unternehmen verstehen wollen. Selbst wer bereits auf eine erfolgreiche Karriere im Corporate Finance respektive Accounting zurückblicken kann, benötigt angesichts des sich wandelnden Umfeldes eine inspirierende Weiterbildung.

**[www.uni.li/mba-corporatefinance](http://www.uni.li/mba-corporatefinance)**

## **Master (MSc) in Finance**

The MSc in Finance is an internationally recognized Master's degree programme of 4 semesters, grants 120 ECTS credits and is currently one of the few English programmes in the German speaking area, that focuses on Asset and Wealth Management and International Financial Management. This Master's degree programme is unique thanks to its international approach, practice-oriented curriculum and its personal touch with students.

**[www.uni.li/master-finance](http://www.uni.li/master-finance)**

## **CFA Society Liechtenstein**

The CFA Society Liechtenstein is an official member society of the CFA Institute. Its origins date back to January 2006 when it was set-up by 15 founding partners and today counts over 100 members. By becoming a recognised society of the CFA Institute, members are granted access to a variety of valuable resources and international conferences.

**[www.cfasociety.org/liechtenstein](http://www.cfasociety.org/liechtenstein)**

## **CE Qualified Activity**

The CFA Institute recommends members complete a minimum of 20 hours of CE activities, including 2 hours in the content areas of Standards, Ethics, and Regulations (SER), each calendar year. The CFA Society Liechtenstein has determined that this event qualifies for 1.0 CE credit hours.

**[www.cfainstitute.org/learning/continuinged](http://www.cfainstitute.org/learning/continuinged)**

# Location

